# Verifying Computations with Streaming Interactive Proofs

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# Outsourcing

- Many applications require outsourcing computation to untrusted service providers.
  - Main motivation: Commercial cloud computing services.
  - Also, weak peripheral devices; fast but faulty co-processors.
  - Volunteer Computing (SETI@home,World Community Grid, etc.)
- User requires a guarantee that the cloud performed the computation correctly.
- One solution: require cloud to *prove* correctness of answer.

# **Goals of Verifiable Computation**

- Provide user with a correctness guarantee, without requiring her to perform the requested computations herself.
  - Ideally user will not even maintain a local copy of the data.
  - User may have resorted to the cloud in the first place because she has more data than she can store.
- Minimize the amount of extra bookkeeping the cloud has to do to prove the integrity of the computation.
- Ideally our protocols will be secure against arbitrarily malicious clouds, but sufficiently lightweight for use in more benign settings.

- Two Parties: Prover P and Verifier V.
- Think of P and powerful, V as weak. P solves a problem, tells V the answer.
  - Then P and V have a conversation.
  - P's goal: convince V the answer is correct.
- Requirements:
  - 1. Completeness: An honest P can convince V she's telling the truth.
  - 2. Soundness: V will catch a lying P with high probability no matter what P says to try to convince V (secure even if P is computationally unbounded).



#### Comparison to Standard Database Outsourcing Model

- There is a large body of work on *authenticating queries on outsourced databases* e.g. [HIM02, GTTC03, NT05, YPPK08, PYP09, YLCHKS09, ...]
- In this model, there are three parties:
  - 1. A *data owner* who outsources work to:
  - 2. An untrusted *service provider*, who answers queries from:
  - 3. Clients.

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  - 2. An untrusted *service provider*, who answers queries from:
  - 3. Clients.
- Goal: enable clients to verify correctness of query results returned by service provider.
  - Many existing solutions rely on the data owner *signing* the data set (e.g. Merkle Trees).
    - So only secure against computationally bounded service providers.
    - And most require data owner to retain a copy of the data.
- In comparison, the Interactive Proof model views the data owner and clients as a single entity.

- IPs have revolutionized complexity theory in the last 25 years.
  - IP=PSPACE [Shamir 90].
  - PCP Theorem e.g. [AS 98]. Hardness of approximation.
  - Zero Knowledge Proofs.
- But IPs have had very little impact in real delegation scenarios.
  - Why?
  - Not due to lack of applications!

- Old Answer: Most results on IPs dealt with hard problems, needed P to be too powerful.
  - But recent constructions focus on "easy" problems (e.g. "Interactive Proofs for Muggles" [GKR08]).
  - Allows V to run **very** quickly, so outsourcing is useful even though problems are "easy".
  - P does not need "much" more time to prove correctness than she does to solve the problem in the first place!

- Why does GKR not yield a practical protocol out of the box?
  - Problem 1: Naively, V has to retain the full input.
  - Problem 2: P has to do a lot of extra bookkeeping (**cubic** blowup in runtime).
- Main focus of this work is addressing Problem 1. Can we allow V to be *streaming*?
- Follow-up work addresses Problem 2 in a generalpurpose manner [CMT12, TRMP12].



# Streaming Interactive Proofs: The Model



# **Data Streaming Model**

- Stream: m elements from universe of size n
  - e.g.,  $S = \langle x_1, x_2, \dots, x_m \rangle = 3, 5, 3, 7, 5, 4, 8, 7, 5, 4, 8, 6, 3, 2, \dots$
- Goal: Compute a function of stream, e.g., median, number of distinct elements, frequency moments, heavy hitters.
- Challenge:

(i) Limited working memory, i.e., sublinear(n,m).

(ii) Sequential access to adversarially ordered data.

(iii) Process each update quickly.

Slide derived from [McGregor 10]

#### Models

- Prior work [CCM09/CCMT12, CMT10] introduced a more restrictive model for verifying streaming computations.
  - One message (non-interactive) protocols: P and V both observe stream. Afterward, P sends V an email with the answer, and a proof attached.
- Our model: Allow multiple rounds of interaction, i.e. P and V have a *conversation* after both observe stream.



## Costs in Our Model

- Two main costs: words of communication *h* and V's working memory *v*.
  - We refer to (*h*, *v*)-protocols.
- Other costs: running time, number of messages.



# **Comparison of Two Models**

- Pros of multi-round model:
  - 1. Exponentially reduces space and communication cost. Often (polylog n, polylog n) compared to  $(\sqrt{n}, \sqrt{n})$ .
  - 2. P often much faster than in single-round case.
- Cons of multi-round model:
  - 1. P must do significant computation after each message.
  - 2. More coordination needed; network latency might be an issue.
- Pros of single-message model:
  - 1. Space and communication still reasonable (< 1 MB).
  - 2. P can do all computation at once, just send an email with proof attached.

# **Streaming Interactive Proof Protocols**

# A Two-Pronged Approach

- Ideal: General purpose protocol allowing to verify arbitrary computation.
  - Based on general-purpose "Interactive Proofs for Muggles" construction [GKR08].
- Substantially improve on the GKR protocol for specific important problems.

# A Two-Pronged Approach

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- Substantially improve on the GKR protocol for specific important problems.
  - Reporting queries.
    - INDEX: What value is stored in memory location x of my database?
    - Range queries: List all employees whose income falls in a given range.
  - Aggregation queries.
    - Frequency Moments.
    - Inner Product
    - Distinct elements.
    - Range Sum.
    - Etc.

# Prong 1: General-Purpose Result

- The GKR protocol can be modified to allow V to be streaming.
  - Reason: GKR protocol (and several others) only requires V to store a fingerprint of the data.
  - This fingerprint can be computed in a single, light-weight streaming pass over the input.
  - Fingerprint serves as a "secret" that V can use to catch the cloud in a lie.
- Fits cloud computing well: pass by V can occur while uploading data to cloud.
- V never needs to store entirety of data!
- The fingerprint is a few KBs in size, even if the input contains terabytes of data.

#### Prong 1: General-Purpose Result

- Theorem 1 ([GKR08] + previous slide):
- (polylog n, polylog n) protocols for all problems in log-space uniform NC.
  - That is, any problem with an efficient parallel algorithm.
  - E.g. Median, MST, Determinant.
- Theorem 2 ([Kilian92] + previous slide): (polylog n, polylog n) *computationally sound* protocols for all problems in NP.

#### Prong 2: Special-Purpose protocols

- Despite powerful generality, [GKR08] is not optimal for many functions of high interest in streaming and database processing.
- We give improved protocols for these problems.
  - And argue that they are highly practical.

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- Moreover, we make **P** run in O(n) time.
- [GKR08] yields  $(\log^2 n, \log^2 n)$  protocol requiring  $\log^2 n$  rounds. P runs in  $\Omega$  (n<sup>3</sup> log n) time.
- [CCM09/CCMT12] shows that √n space or communication is needed by any one-message protocol.
  - Exponential separation between one-message and multi-round models.

# F<sub>2</sub> Experiments

- Implemented  $(\sqrt{n}, \sqrt{n})$  one-message  $F_2$  protocol from [CCM09] and our new (log n, log n) multi-round protocol.
  - One-message space and communication both  $\sim 1$  MB for n=10 billion.
  - Multi-round space and communication always under 1 KB even when handling GBs of data.
- V highly efficient in both cases (20-40 million updates per second across all stream lengths).
- P much more efficient in multi-round case.

# F<sub>2</sub> Experiments

- P much more efficient in our multi-round protocol.
  - Multi-round case: P processes 20 million updates per second across all stream lengths.
  - Single-round case:
    - 1. Naïve implementation of P requires  $\Omega(n^{3/2})$  time; doesn't scale to large streams.
    - Follow-up work [CMT12] brings P's runtime down to O(n log n) using sophisticated FFT techniques, achieving 250,000-750,000 updates per second experimentally.

#### F<sub>2</sub> Experiments: P runtime



Multi-round P vs. Single-round P with and without FFT techniques

#### F<sub>2</sub> Experiments: Space & Communication



#### Range-Query Protocol + Experiments

- Result: (k+log n, log n)-protocol requiring log n rounds, where k is the number of items returned by the query.
- Moreover, we make P run in O(n) time.
- All experimental costs similar to those of F<sub>2</sub> protocol.

#### **Range-Query Protocol Ideas**

- Standard idea: have V keep a Merkle tree, so that the hash of the root is used as a "secret" to catch P in a lie.
  - Though this would only be secure against computationally bounded provers.
- But V cannot compute the hash of the root without storing the entire tree!

## **Range-Query Protocol Ideas**

- Standard idea: have V keep a Merkle tree, so that the hash of the root is used as a "secret" to catch P in a lie.
  - Though this would only be secure against computationally bounded provers.
- But V cannot compute the hash of the root without storing the entire tree!
- We use a different hashing scheme that is similar in outline to a Merkle tree, but that can be computed incrementally by V as the stream updates arrive in arbitrary order.
  - To "cheat", P would have to find collisions under this hash function.
  - But P does not learn the hash function until she has already committed to an answer.
- Remaining engineering challenge: make P fast.

#### Conclusions

- IPs (and their relatives) represent some of the most celebrated results in complexity theory.
- They have the potential to mitigate trust issues in cloud computing, but were wildly impractical until recently.
- We modify known constructions to work with streaming verifiers.
- And improve on known constructions for specific, important problems.
  - Arguably obtaining the first practical interactive proof protocols.

## Follow-up Work

- [CMT12] revisits the GKR protocol.
  - Brings the blowup in **P**'s runtime down from **cubic** to logarithmic.
  - Develops a full, working implementation of the GKR protocol.
  - Demonstrates experimentally that V saves a lot of time and space (at least for problems with small-depth circuits).
  - The main remaining bottleneck is still P's runtime (P takes 27 minutes for 256 x 256 matrix multiplication).
- [TRMP12] describes a parallelized implementation of the GKR protocol that further reduces P's and V's runtimes by 40x-100x.
- Other recent general-purpose implementation work: [CRR11, SMBW12, SVPBBW12].



# Second Frequency Moment

- The second frequency moment of a stream is defined as follows:
  - Let X be the frequency vector of the stream (X<sub>i</sub> is number of occurrences of i in the stream)
  - $F_2(\mathbf{X}) = \sum_i \mathbf{X}_i^2$
- [CCM 09/CCMT 12] ( $\sqrt{n}$ ,  $\sqrt{n}$ )-protocol for F<sub>2</sub>.
  - Terabytes of data translate to a few MBs of space and communication.
- This is optimal. There is a lower bound that says for (h, v)-protocol for  $F_2$ ,  $hv = \Omega(n)$  lower bound.
- Notice (1, n) and (n, 1) protocols are trivial. What is non-obvious is how to trade off between *h* and *v*.

# F<sub>2</sub> Protocol

- Recall:  $F_2(\mathbf{X}) = \sum_i \mathbf{X}_i^2$
- View universe [n] as  $[\sqrt{n}] \times [\sqrt{n}]$ .



• First idea: Have P send the answer "in pieces":

•  $F_2(row 1)$ .  $F_2(row 2)$ . And so on. Requires  $\sqrt{n}$  communication.

 V exactly tracks a row at random (denoted in yellow) so if P lies about any piece, V has a chance of catching her. Requires space √n.



Slide derived from [McGregor 10]

- Problem: If **P** lies in only one place, **V** has small chance of catching her.
- We would like the following to hold: if **P** lies about even one piece, she will have to lie about many.
- Solution: Have P commit (succinctly) to second frequency moment of rows of an **error-corrected encoding** of the input.
- Need V to evaluate any row of the encoding in a streaming fashion. Can do this for "low-degree extension" code. Note: this code is *systematic*, meaning the first n symbols are just the input itself.



# Multi-Round Protocol

- Replace "frequency square" with "frequency hypercube" i.e. view universe [n] as [2]<sup>d</sup> where d=log n.
- V's secret is now a *single* entry of the (encoded) frequency hypercube, rather than an entire row of the frequency square.
  Requires space O(log n) rather than space O(√n).
- In Round 1, P sends the answer "in pieces", where piece j aggregates over all items of the form i=(j, i<sub>2</sub>, i<sub>3</sub>, ..., i<sub>d</sub>).
  - Then V tells P the first coordinate of her secret index, and the protocol iterates on the resulting subcube.
- Analysis: argue that if P sends a "wrong" polynomial in any round, then P will have to send a wrong polynomial in all subsequent rounds.